



PATENT
Attorney Docket No. 52493.000163

MPA #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

Jonathan BOSWELL, et al.

Serial No.: 10/028,284

Filed: December 28, 2001

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Group Art Unit: 2161

Examiner: To Be Assigned

For: SYSTEM AND METHOD FOR PRICING OF A FINANCIAL PRODUCT OR SERVICE
USING A WATERFALL TOOL

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Nonprovisional Application" mailed January 24, 2002, Applicant submits herewith the signed Declaration and Substitute Drawings in compliance with 37 C.F.R. § 1.84 in the cited patent application.

A check in the amount of \$130.00 is attached to cover the filing of the late Declaration. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

Date:

5/24/02

By:

Ozzie A. Farres

Registration No. 43,606

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A check in the amount of \$400.00 is attached to cover the filing fee associated with a two month extension. In the event any variance exists between the amount enclosed and Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By: 

Ozzie A. Farres

Registration No. 43,606

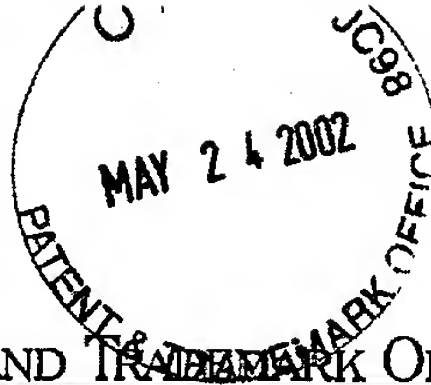
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UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,284	12/28/2001	Jonathan Boswell	52493.000163

CONFIRMATION NO. 2969

21967
HUNTON AND WILLIAMS
1900 K STREET N W
WASHINGTON, DC 20006

FORMALITIES LETTER



OC000000007356601

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

05/28/2002 BABRAHA1 00000076 10028284

01 FC:105 130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE